
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In re application of: Venkatraman et al.

Attorney Docket No.: DEM1P005

Application No.: 09/741,959

Examiner: Patel, Jagdish

Filed: December 22, 2000

Group: 3693

Title: ECONOMETRIC OPTIMIZATION
ENGINE

Confirmation No.: 7256

FILED VIA EFS

REQUEST FOR CORRECTED FILING DATE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

October 17, 2007

Dear Sir:

In recently reviewing the file history of the above-identified application, it has been brought to Applicants' attention that the previous Applicants' representative filed a "Request for Corrected Filing Receipt" (copy attached) dated March 14, 2001. As you will note, it has two postmarks from the U.S. Patent & Trademark Office. The Request is also listed on PAIRS on March 14, 2001. However, a response from the USPTO was never received and Applicants' unintentionally failed to follow up with USPTO. Applicants have paid the Issue Fee in this application on September 14, 2007 and are currently awaiting issue notification.

Application No. 09/741,959
Request for Corrected Filing Date
Dated October 17, 2007

Applicants' respectfully request a review of this prior request and believe that the correct filing date for this application is December 20, 2000. Should the Examiner believe that a telephone conference would expedite the prosecution of this application, the undersigned can be reached at telephone number 925-570-8198. Thank you for your consideration in this matter.

LAW OFFICES OF KANG S. LIM
PMB 436
3494 Camino Tassajara Road
Danville, CA 94506
Voice: (925) 570 8198
Facsimile: (925) 736 3974

Respectfully submitted,

/Kang S. Lim/

Kang S. Lim
Attorney for Applicant(s)
Reg. No. 37,491

CUSTOMER NO. 36088



RECEIPT
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: VENKATRAMAN et al.

Attorney Docket No.: DEM1P005

Application No.: 09/741,959

Examiner: UNASSIGNED

Filed: December 20, 2000

Group: 2161

Title: ECONOMETRIC OPTIMIZATION
ENGINE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231 on March 14, 2001.

Signed: Sue Funchess
Sue Funchess

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

RECEIVED
JUL 17 2001
Technology Center 2000

The Applicants in the above-identified application respectfully request for the issuance of a corrected filing receipt. The correct filing date of the above-identified application is **December 20, 2000**.

Attached please find (1) a copy of the official filing receipt received from the Patent and Trademark Office in the above application showing the disputed date of filing (December 22, 2000), (2) a copy of the Express Mail receipt showing the date of mailing (December 20, 2000) and (3) a copy of the signed Express Mail certificate showing the date of mailing (December 20, 2000). The filing receipt from the Patent and Trademark Office does not reflect the proper date of filing under 37 CFR § 1.10; a return postcard has not yet been received. The Applicants therefore respectfully request that the application be accorded the correct date of filing, as shown on the Express Mail receipt and the Express Mail certificate, of December 20, 2000.

This correction is not due to any error by the Applicants. The Applicants therefore respectfully request that any and all fees related to this request be waived. However, if it is

determined that any fees are due in connection with the filing of this request, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0388 (Order No. DEM1P005).

Respectfully submitted,
BEYER WEAVER & THOMAS, LLP

A handwritten signature in black ink, appearing to read "Michael Lee".

Michael Lee
Registration No. 31,846

P.O. Box 778
Berkeley, CA 94704-0778



COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 WWW.USPTO.GOV

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/741,959	12/22/2000	2161	710	DEM1P005	26	6	3

CONFIRMATION NO. 7256

FILING RECEIPT



0000000005804292

 022434
 BEYER WEAVER & THOMAS LLP
 P.O. BOX 778
 BERKELEY, CA 94704-0778

Date Mailed: 02/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Krishna Venkatraman, Residence Not Provided;
 Phil Delurgio, Residence Not Provided;
 Suzanne Valentine, Residence Not Provided;
 Michael Neal, Residence Not Provided;
 Hau Lee, Residence Not Provided;

 RECEIVED
 JUL 17 2001
 Technology Center *2100*

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/26/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Econometric optimization engine

Preliminary Class



705

Data entry by : HAPPY, MILAGROS

Team : OIPE

Date: 02/27/2001



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

COPY

RECEIVED

JUL 17 2001

Technology Center 2100



EXPRESS MAIL

POST OFFICE TO ADDRESSEE

EJ756595392US

UNITED STATES POSTAL SERVICE™

ORIGIN (POSTAL USE ONLY)

NO. 12300	DATE 7/12/01	TIME 12:30	ZIP 94704
TO: 123	FROM: 123	CLASS: 123	POSTAGE: 123
WEIGHT: 123	LENGTH: 123	THICKNESS: 123	INSURANCE: 123
DELIVERY: 123	POSTAGE: 123	INSURANCE: 123	INSURANCE: 123

SEE REVERSE SIDE FOR
SERVICE GUARANTEE AND
INSURANCE COVERAGE LIMITS

Customer Copy

CUSTOMER USE ONLY

1340249

FROM: (Please print)

650 961-8300

Beyer Heaver & Thomas, LLP
P.O. Box 778
Berkeley, CA 94704-0778

06NIP005

TO: (Please print)

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.gov



Post 11-6 July 1997





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on December 20, 2000 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EJ756595392US, addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Michael Lee
Michael Lee

Attorney Docket No.: DEM1P005

First Named Inventor: VENKATRAMAN

EJ756595392US

COPY

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:
**KRISHNA VENKATRAMAN, PHIL DELURGIO, SUZANNE VALENTINE,
MICHAEL NEAL and HAU LEE**

For: **ECONOMETRIC OPTIMIZATION ENGINE**

Assigned to:

Application Elements:

- ☒ 126 Pages of Specification, Claims and Abstract
☒ 26 Sheets of Formal Drawings
☐ Pages Combined Declaration and Power of Attorney

RECEIVED
JUL 17 2001
Technology Center 2100

Accompanying Application Parts:

- ☒ Do not publish this application. Nonpublication Request is attached.
☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
☐ 37 CFR 3.73(b) Statement by Assignee
☐ Information Disclosure Statement with Form PTO-1449
☐ Copies of IDS Citations
☐ Preliminary Amendment
☒ Return Receipt Postcard
☐ Other: